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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination  
\*\*\* Admitted in TX only

November 14, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/777,556  
Applicants: Richard A. Barry and Murat Azizoglu  
Filed: February 5, 2001  
Title: *FLEXIBLE OPTICAL ADD/DROP ARCHITECTURE*  
Attorney Docket No.: SYCS-008

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application;
2. Executed Declaration, Petition and Power of Attorney;
3. Substitute drawings in compliance with 37 C.F.R. §1.84 (8 sheets)
4. Copy of Formalities Letter;
5. Checks for \$1764.00 for surcharge fee, the basic filing fee, and the additional claim fee; and
6. Return acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

November 14, 2001

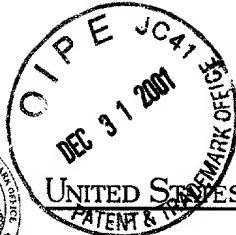
Date

Kevin J. Canning, Esq. Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq.  
Reg. No. 35, 470



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## FORMALITIES LETTER



\*OC000000006525876\*

Date Mailed: 09/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01/02/2002 SMINASS1 00000027 09777556

**FILED UNDER 37 CFR 1.53(b)**

01 FC:101	740.00	DP
02 FC:103	558.00	DP
03 FC:102	336.00	DP
04 FC:105	130.00	DP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$878.
  - **\$558** for **31** total claims over 20.
  - **\$320** for **4** independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1718.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/25/2010 10:59:40 AM